



## PART B - FEE(S) TRANSMITTAL

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22852 7590 10/27/2005

FINNEGAN, HENDERSON, FARABOW, GARRETT &  
DUNNER  
LLP  
901 NEW YORK AVENUE, NW  
WASHINGTON, DC 20001-4413

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## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

Cindy Baggett (Depositor's name)  
Cindy Baggett (Signature)  
January 24, 2006 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/R27,531	04/06/2001	Stephen A. Smith	005326.P003	6381

TITLE OF INVENTION: METHOD OF AND APPARATUS FOR FORECASTING ITEM AVAILABILITY 01/25/2006 CNGUYEN3 00000014 060916 09827531

01 FC:1501 1400.00 DA  
02 FC:1504 300.00 DA

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	01/27/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHOI, PETER H	3623	705-010000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 FINNEGAN, HENDERSON

2 FARABOW, GARRETT

3 &amp; DUNNER LLP

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

FMS, INC.

MOUNTAIN VIEW, CALIFORNIA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies

4b. Payment of Fee(s):

- ☐ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTC-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-0916 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Venk Krishnamoorthy, Ph.D.

Date

JAN 24 2006

Registration No.

52,490

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**FACSIMILE TRANSMITTAL****TO MAIL STOP ISSUE FEE**

U.S. Patent and Trademark Office  
Fax No.: (571) 273-2885  
Phone No.:  
Subject: Issue Fee Payment for  
09/827,531  
Our File No.: 09530.0001-00000

**FROM**

Name: Venk Krishnamoorthy, Ph.D.  
Reg. No. 52,490  
Phone No.: 650 849 6751  
Fax # Verified by: clb  
# Pages (incl. this): 3  
Date: January 24, 2006

**Confirmation Copy to Follow: NO**

In re Application of:

Stephen A. SMITH et al.

Application No.: 09/827,531

Filed: April 6, 2001

For: METHOD OF AND APPARATUS  
FOR FORECASTING ITEM  
AVAILABILITY

Group Art Unit: 3623

Examiner: CHOI, Peter H.

Confirmation No.: 6381

In Response to the Notice of Allowance mailed October 27, 2005, Applicants submit the following:

1. Issue Fee Transmittal in Duplicate with Certificate of Facsimile and Deposit Account Authorization in the amount of \$1,700.00, (1 page each; 2 pages total).

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence is being sent via facsimile on January 24, 2006, to Mail Stop ISSUE FEE at facsimile number (571) 273-2885 located at the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Cindy Baglietto*  
Cindy Baglietto

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